**<Sample Email>**

|  |  |
| --- | --- |
| **件名** | **RE: Trades stuck in TS** |
| **差出人** | Region1-FO-Person12 |
| **宛先** | Region1-MO-FX; Region1-FXFO-Retail |
| **CC** | Region2-MO-FX |
| **送信日時** | 2021年2月26日 11:50 |

Fujii san,

Amended.

TTIS903  999         TTDS425 (0.01)     AUD/JPY 1.00       99.28      02/27/2021            AA6726   26/Feb/2021

TTIS903  999         TTDS425 (0.01)     AUD/JPY 1.00       99.28      02/27/2021            AA6762   26/Feb/2021

TTIS903  999         TTDS425 (0.01)     AUD/JPY 1.00       99.28      02/27/2021            AA6895   26/Feb/2021

TTIS903  999         TTDS425 (0.01)     AUD/JPY 1.00       99.28      02/27/2021            AA7194   26/Feb/2021

TTIS903  999         TTDS425 (0.01)     AUD/JPY 1.00       99.28      02/27/2021            AA7580   26/Feb/2021

Thanks,

Naka

**From:** FX MO TKY <fxmotky@nomura.com>

**Sent:** Monday, February 26, 2021 11:41 AM

**To:** Region1-FO-Person10; Region1-FO-Person11; Region1-FO-Person12

**Cc:** Region1-MO-FX; Region2-MO-FX

**Subject:** Trades stuck in TS

HI Region1-FO-Person10, Region1-FO-Person11;, Region1-FO-Person12,

Could you check below trades?

Below trades are stuck in downstream system as zero amount is not acceptable. Please dummy amend in System1.

Regards,

Region-MO-FX-Person1

**From:** Region2-MO-FX

**Sent:** Monday, February 26, 2021 11:32 AM

**To:** Region1-MO-FX

**Cc:** Region2-MO-FX;

**Subject:** Trades stuck in TS

Hi Team,

Please check for these trades stuck in TS’s Exceptions queue.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Trade Number | Trade Date | Version | Settlement Date | Trade Status | Description | Failure Time | Last Modified | Modified By | Site | Book | Counter Party | SMO Ref | Source Trade Status | Far Settle Date | Trader Name |
| AA6726 | 26-Feb-24 | 1 | 27-Feb-24 | Failed New | Errors : Value must be positive non zero. | 2/26/2021 6:46:29 AM | 2/26/2021 6:46:29 AM | rtl\_user | TO | TTDS425 | TTIS903 |  | NEW |  | rtl\_user |
| AA6762 | 26-Feb-24 | 1 | 27-Feb-24 | Failed New | Errors : Value must be positive non zero. | 2/26/2021 6:46:54 AM | 2/26/2021 6:46:54 AM | rtl\_user | TO | TTDS425 | TTIS903 |  | NEW |  | rtl\_user |
| AA6895 | 26-Feb-24 | 1 | 27-Feb-24 | Failed New | Errors : Value must be positive non zero. | 2/26/2021 6:47:49 AM | 2/26/2021 6:47:49 AM | rtl\_user | TO | TTDS425 | TTIS903 |  | NEW |  | rtl\_user |
| AA7194 | 26-Feb-24 | 1 | 27-Feb-24 | Failed New | Errors : Value must be positive non zero. | 2/26/2021 6:49:19 AM | 2/26/2021 6:49:19 AM | rtl\_user | TO | TTDS425 | TTIS903 |  | NEW |  | rtl\_user |
| AA7580 | 26-Feb-24 | 1 | 27-Feb-24 | Failed New | Errors : Value must be positive non zero. | 2/26/2021 6:49:54 AM | 2/26/2021 6:49:54 AM | rtl\_user | TO | TTDS425 | TTIS903 |  | NEW |  | rtl\_user |

**<SOP>**

**Value must be positive non zero**

Check whether both CCY1 and CCY2 amounts are zero or not in System1. The handling process should be separated based on this criterion.

1. Both CCY1 and CCY2 amounts are zero

Need to reject the feed from System1 in TS

* 1. Fill in Trade Number on Set System1 Trade Status

　(Once double cliking the relevant trade record in TS System1 Exceptions, Trade Number is automatically filled in.)

②Set "Success"

③Press "Execute" button

1. Only JPY amount only is zero

Immediately raise the issue to sales/trader who book the trade and ask for amendment or if the trade is internal and no need to downstream, set “Success” and press Execute button

Most of the case is for FX Retail desk, which is fed automatically from RetailSystem. JPY amount should be amended to 1 yen